



London Borough of Merton

CORPORATE GUIDANCE FOR MERTON EMPLOYEES AND MANAGERS ON REPORTING ACCIDENTS, INCIDENTS & ACTS OF VIOLENCE AND AGGRESSION.

Introduction

As an employer the Council has responsibilities to record **all** workplace accidents/incidents and to report specific accidents/incidents to the enforcing authority the Health and Safety Executive (HSE). There is also a legal requirement to carry out accident/incident investigations and to put in place remedial measures to prevent recurrence.

This guidance document is split into three sections.

[Section 1](#) concerns the access to and use of the Accident Reporting System.

[Section 2](#) contains information on reporting to the HSE as required by the Reporting of Injuries, Diseases and Dangerous Occurrences Regulations (RIDDOR) 2013 and other types of incidents that must be recorded on the Accident Reporting System.

[Section 3](#) provides detailed guidance on accident investigation.

Section 1

Accident/incident recording

All employees and staff involved in an accident or incident at work, including violence and aggression, must report the details on the council's online accident reporting system available via the Merton Website:

www.merton.gov.uk

From the home page, scroll to the bottom of the page and select 'Services A-Z' then select 'Accident reporting for Merton Staff and Contractors'. This will take you through to the Accident E-Form landing page.

The online report form has been designed to be as user friendly as possible and there is help throughout. It requires the completion of a number of questions that fall into two main parts:

(i) General information about the injured person and the nature of accident.

AND

(ii) The accident investigation. Once a form has been entered onto the system the relevant manager will be informed via automated email and invited to complete the investigation part of the form.

Accident /Incident reporting to the Enforcing Authority (HSE)

Certain types of accident and incident must be notified to the enforcing authority within prescribed timescales. The injured person's line manager is responsible for reporting accidents/incidents under RIDDOR to the HSE from the information provided by the injured person and in accordance with Flow Charts 3 - 5 at the back of this document. During completion of the form, where relevant criteria are met, the manager will be provided with a link to report the details to the HSE.

Accident/Incident Investigation

All line managers are responsible for accident/incident investigation. The main aim of the investigation is to pinpoint the causes of accidents/incidents and take prompt and effective action to prevent recurrence.

The accident reporting system guides those undertaking the investigation through the process ensuring they:

- Focus on establishing the facts e.g. what happened; to whom; where; and the outcome
- Focus on how and why, giving the immediate cause or loss, and then the secondary or contributory causes
- Focus on any preventative measures needed to prevent recurrence

More detailed guidance on the investigation process can found in Section 3 and obtained from the Safety Services Section by emailing us at health.andsafety@merton.gov.uk or by phoning 0208 545 3384.

INFORMATION ON REPORTING ACCIDENTS AND INCIDENTS ONLINE

An accident is defined as:

“Any unplanned event which gives rise to ill health or injury; damage to property, plant, products or the environment; production losses, or increased liabilities.”

Accidents are a major drain on an organisation's resources in both financial and human terms. As well as the legal requirement to investigate all accidents and incidents, there are sound business reasons for investigating them and preventing re-occurrence even if they do not result in injuries or loss on this occasion.

Accident reporting plays a vital part in assessing the effectiveness of the organisation's safety management and is an important tool in monitoring health and safety performance standards across the council.

Completing the online report form

All employees must record the details of their accident, incident, act of violence or verbal abuse onto the online report form. This is the only method permitted for reporting, recording and investigating accidents / incidents. If for any reason the injured person is unable to report it themselves their manager can report the details online on their behalf.

Recording an Accident if you are an Employee

The form is accessible from the Health and Safety for Merton Staff and Contractors page on the Merton Website.

If you are unable to enter the details on the system yourself you should inform your manager as soon as possible in order that they can enter the details themselves on your behalf.

If your manager is unable to log onto the system for any reason, or you find their name is not on the manager list for submitting an accident, please contact the Safety Section on 020 8545 3384.

Recording an Accident if you are a Manager

Any accident or incident resulting in a fatality or major injury (as specified above) must be reported to the Corporate Safety Services Team (020 8545 3384) immediately.

You must ensure that an online accident report form is completed within 24 hours of the incident. Ensure the form is fully completed and provides accurate information.

Note: Consider RIDDOR reporting by looking at both the '[Accident/Incident reporting to the Enforcing Authority \(HSE\) RIDDOR](#)' section of this guidance and Flow Chart 4 in Section 3.

Ensure that all accidents or incidents are fully investigated and appropriate measures taken to reduce the risk of recurrence of the incident. Managers can obtain advice and assistance from the Corporate Safety Section where necessary.

If you are a Manager filling out the form on behalf of an employee then you will need to follow Flow Chart 2 below, however you will also be able to fill out the investigation yourself which is shown in Flow Chart 3

Accidents involving non-employees

This includes the following:

- Pupils
- Members of the public
- Visitors
- Clients
- Contractors

All accidents occurring on Council premises involving a member of the public, pupil, visitor, client, contractor or any other persons affected by the council's activities must be investigated and reported using the online accident reporting form.

If the incident results in a fatality, major injury or injuries needing treatment in hospital inform the Corporate Safety Section on (020) 8545 3384 immediately.

Complete an online accident report within 24 hours.

Carry out a full accident investigation and take all reasonable steps to avoid a recurrence

Note: Consider RIDDOR reporting by looking at both the '[Accident/Incident reporting to the Enforcing Authority \(HSE\) RIDDOR](#)' section of this guidance and Flow Charts 3 (Pupils) or 5 (Public) in Section 3, as you may need to report this to the HSE.

Important Notes on Completing the Accident Form

- There are three levels of access to the Accident Reporting System:
 - Employee: employees are expected to report their own accidents by entering their payroll number and surname then filling out the first part of the form and submitting it to their manager to complete the investigation. If the employee is unable to do so due to absence, responsibility for reporting passes to their line manager. A User ID is not required for this function but you will need your payroll number to hand.
 - Administrator: A member of staff who completes the first part of the form by inputting the accident details then submits it to the relevant manager for investigation and further reporting where necessary. This function is primarily for staff who may be the only staff on the premises who can report an accident/incident on behalf of others i.e, members of the public and then submit to the relevant manager to complete the investigation. A User ID is required for this level of access; if you have not been issued

with a User ID or have forgotten your password, please contact the Safety Section on 020 8545 3384.

- Manager: Line managers who complete the investigation section of the form and RIDDOR report where applicable. Managers are also able to fill out the entire form where the employee is not able to do so themselves or where an accident/incident involves a non-employee. A User ID is required for this level of access; if you have not been issued with a User ID or have forgotten your password, please contact the Safety Section on 020 8545 3384.
- The form is divided into 6 pages - each page must be completed before proceeding to the next one.
- Details on each page will **only** be saved when the  button is clicked to go to the next page.
- The form can be partially completed and you can return and complete it later. However, individual pages must be fully completed before they are saved.
- When all the pages have been completed you will be able to review and edit the details you have entered.
- You must then click the 'Submit' button to mark the form as completed.
- After the form has been submitted you will not be able to make any changes.
- The form will then be processed by the Corporate Safety Section

NOTE! Your session will be timed out after 60 minutes and you will have to login again. **Any unsaved data on the current page will be lost.**

Flow Charts 1, 2 and 3 below take you through the process of completing the form as an Employee, Administrator and a Manager respectively.

Flow Chart 1 – Employee

To start reporting your own accident/incident, select 'Next' on the bottom right of the landing page.

If you are an employee and you want to report an accident or incident which you have been involved in press the Next button.

Information and guidance on accident reporting and investigation can be found at [Corporate guidance on accident reporting and accident investigation summary](#).

To report an accident or incident as a manager for a member of Staff or Contractor click Managers login

If you have been issued with a user id and password as manager or administrator, and you need to complete an accident or incident form on behalf of another person.

[Managers Login](#)

This form is only for permanent and fixed-term employees.

If you are a Merton contractor or employee of a Merton shared service, please contact the Safety Services team on 020 8545 3384 or email FM.helpdesk@merton.gov.uk for assistance.

To save this page and exit, press Cancel

✕ Cancel

Next >



Enter your payroll number and surname as prompted. Your payroll number can be found on iTrent if you don't know it.

Enter your details

You will need your employee number to login. The employee number can be found on iTrent under employment.

If you are a Merton contractor or shared service employee, please contact Safety Services for assistance.
Contact details: Tel 020 8545 3384

Employee number *

Surname *

Validate details



Enter your payroll number and surname as prompted then press 'Validate details', a pop up will appear and you will need to press 'OK' then the 'Next' button.

Note: Your payroll number can be found on iTrent if you don't know it.

Enter your details

You will need your employee number to login. The employee number can be found on iTrent under employment.

If you are a Merton contractor or shared service employee,
Contact details: Tel 020 8545 3384

The screenshot shows a login form with two input fields: 'Employee number *' containing '2223' and 'Surname *' containing 'Timms'. A green 'Validate details' button is circled in red and labeled '1'. A modal window titled 'Message from webpage' is open, displaying a yellow warning icon and the text: 'You have now successfully logged in. Please press Next to continue.' The 'OK' button in the modal is circled in red and labeled '2'. Green checkmarks are visible to the right of both input fields.

To save this page and exit, press Cancel

The screenshot shows three buttons: '< Previous', 'x Cancel', and 'Next >'. The 'Next >' button is circled in red and labeled '3'. A large blue arrow points downwards from the buttons.

Once logged in, scroll to the bottom of the page and click 'Next'.
You can also finish incomplete forms from here.

Incomplete accident reports

To complete an incomplete accident report please choose an accident reference and press **Next**.

Reference - Accident date - Accident type - Accident location - Form status

You have no incomplete accidents. Please press **Next** to record an accident.

Submitted accident reports

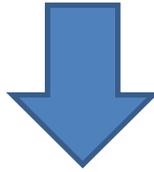
To view a submitted report please choose an accident reference and press **Next**.

Reference - Accident date - Accident type - Accident location - Form status

You have no submitted accidents

To save this page and exit, press Cancel

The screenshot shows three buttons: '< Previous', 'x Cancel', and 'Next >'. The 'Next >' button is circled in red.



You will need to complete all mandatory fields of the following sections of the form:

- About you
- General accident or incident details
- Specific accident or incident details
- Statements

About you | General accident or incident details |
Specific accident or incident details | Statements



At the bottom of the 'Statements' page you will see your manager's name. **If the details are correct**, select 'Yes' and then 'Submit', this will send the form to them to complete the investigation section.

The accident details will be submitted to your manager to add investigation details.

Manager [Adam Viccari](#)

Manager's email

Are your manager's details correct? * Yes No



To save this page and exit, press Cancel

< Previous

✕ Cancel

✓ Submit

If the details are incorrect then select 'No'. Enter your manager's details in the two fields, then press 'Submit'.

Are your manager's details correct? * Yes No



Please enter manager's details

Manager's name *

Manager's email *

To save this page and exit, press Cancel

< Previous

✕ Cancel

✓ Submit

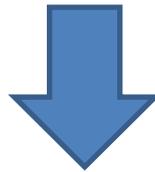
Flow Chart 2 – Administrators

Administrator selects Manager's Login option from the landing page of the Accident Reporting System.

To report an accident or incident as a manager for a member of Staff or Contractor click Managers login

If you have been issued with a user id and password as manager or administrator, and you need to complete an accident or incident form on behalf of another person.

[Managers Login](#)



Administrator logs on to accident system with User ID given by Corporate Safety Section. If you have not been issued with a User ID or have forgotten your password, please contact the Safety Section on 020 8545 3384.

Accident or incident reporting

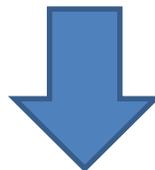
If you wish to report an accident on someone's behalf or add investigation detail to a reported accident please log in with admin account.

If you have not been set-up on the system yet, please contact the Safety Services team on 0208 545 3384.

User ID

Password

Login



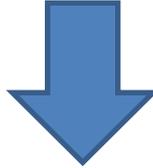
Administrator scrolls to 'Report an accident' part of the page. Details are entered using employee's payroll number if known.

Report an accident

For an employee (If you know their employee payroll number)

Please type payroll number of the person involved
in the accident or incident

Search



If the employee number is not known, or the injured person is not an employee then search for the person using the box for non-employees and pupils. You do not have to know their date of birth to continue.

For a non-employee, pupil or employee (if you don't have their employee payroll number)

Surname

Pupil Name



Do you know person's date of birth? * Yes No

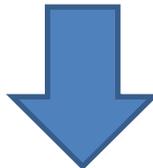


Date of birth *

01/03/1999



Search



If the person is not found on the system then prompted by the form you will need to add them by selecting 'Yes' and filling out their personal details and address.

Note: All fields for adding a new person are mandatory, you will not be able to progress without entering a value.

If you have not found the correct person you must add the new persons details here.

Do you want to add new person? Yes No



Add new person

Title

Forename

Surname

Gender *

Do you know person's date of birth? * Yes No

Address

House number or name, and street name

Town

County

Post code

Home phone number

Add

Once the person has been found or their details entered on the database the following will appear. Select 'Yes' then click 'Next' on the bottom right of the page to begin reporting.

Report an accident or incident for the employee,
non-employee or pupil?

Yes

No

Next >

As an administrator, you will need to complete all mandatory fields of the following sections of the form:

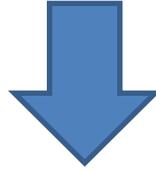
- General Details
- General accident or incident details
- Specific accident or incident details
- Statements

General details

General accident or incident details

Specific accident or incident details

Statements



Select the relevant investigating manager from the dropdown list. The investigating manager will receive an email prompting them to log in and complete the investigation section of the form.

Flow Chart 2 - Investigating Managers

Select Manager's Login option from the landing page of the Accident Reporting System.

To report an accident or incident as a manager for a member of Staff or Contractor click Managers login

If you have been issued with a user id and password as manager or administrator, and you need to complete an accident or incident form on behalf of another person.

[Managers Login](#)



Manager logs on to accident system with User ID given by Corporate Safety Section. If you have not been issued with a User ID or have forgotten your password, please contact the Safety Section on 020 8545 3384.

Accident or incident reporting

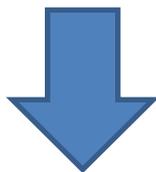
If you wish to report an accident on someone's behalf or add investigation detail to a reported accident please log in with admin account.

If you have not been set-up on the system yet, please contact the Safety Services team on 0208 545 3384.

User ID

Password

Login



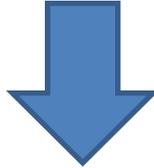
Scroll down to the 'Pending Forms' section and select chosen report, then click next from bottom of page

Pending forms

Please choose an accident from pending forms and press **Next** to view the accident details and add investigation report.

Reference No - Person - Emp no - Record created

● 9911 - big test - N/A - Jun 18 2018 4:02PM



Fill out the investigation page and click 'Next' at the bottom right of the page

Investigation of accident or incident **Review accident form**

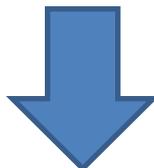
How long the employee was off work? *

Investigation findings *

If you need to leave the form in between, please press cancel and it will save the information.

[< Previous](#) [✕ Cancel](#) [Next >](#)

Review the details of the report and click 'Submit' at the bottom right of the page to finish.



Investigation of accident or incident **Review accident form**

Review accident form

The accident form has now been completed. Please review the form details.

Once you have reviewed the form details you can submit the form with **submit** button.

When the form has been submitted you will not be able to change any details.

Section 2

Accident/Incident reporting to the Enforcing Authority (HSE) RIDDOR

The Reporting of Injuries, Diseases and Dangerous Occurrence Regulations 2013 (RIDDOR) require employers to report certain types of specified accident and, incident within prescribed timescales. The accident reporting system has been designed to automatically determine the need for RIDDOR reporting in most cases based on answers to a set of questions in the Specific Accident or Incident Details section of the form. In order to ensure the specified accident or incident is reported to the HSE on time, the Manager responsible for the injured person will need to report these accidents/incidents to the HSE in accordance with Flow Charts 3 - 5 in Section 3. If there is any doubt as to whether an incident is RIDDOR reportable please contact the Corporate Safety Section on 020 8545 3384.

The types of accident that must be reported are:

- **Any injury resulting in a fatality**
- **An injury to a member of the public that results in them being taken directly to hospital for treatment from a council owned or managed building.**
- **If a member of staff sustains one of the following:**
 - Bone fracture, excluding fingers, thumbs and toes
 - Amputation of arm, hand, finger, thumb, leg, foot or toe
 - Blinding or permanent sight reduction
 - Crush injuries leading to brain damage or internal organ damage
 - Serious burns (including scalding) which:
 - Covers more than 10% of the body
 - Causes significant damage to the eyes, respiratory system or other vital organs
 - Scalping requiring hospital treatment
 - Loss of consciousness caused by head injury or asphyxia
 - Injuries associated with working in an enclosed space leading to hypothermia or heat-induced illness, resuscitation, hospitalisation for over 24 hours
- **Any injury that prevents a member of staff from carrying out their routine work for more than 7 days.**

Injuries causing Incapacity from Work for More Than Seven Days

RIDDOR requires that work related accidents resulting in the employee being unable to do their normal work for more than 7 consecutive days are reported to the HSE.

You should note that where an injured employee returns to work following an accident and, for more than 7 days is employed on work, which is not that person's normal duties, then the injury is still reportable to HSE.

In calculating the seven or more days, the day of the accident is not included but if the period of incapacity extends over the weekend then Saturday and Sunday would be counted, as do any other 'rest' days.

Managers must conduct an investigation to establish the cause(s) of the accident, take reasonable immediate remedial actions and record them.

As soon as it is confirmed that the employee has been or will be unable to do their normal work for more than 7 consecutive days you must complete an online accident form.

Upon the employee's resumption of their normal duties, inform the departmental personnel section of the total number of days lost as a result of the accident.

Dangerous Occurrence

These must be reported even if they do not result in an injury. The types of dangerous occurrences are wide ranging and include things such as building collapse, scaffold collapse, boiler explosion, explosions, chemical escapes etc.

Inform the Safety Section on (020) 8545 3384 immediately.

Take all reasonable steps to make the area safe and carry out a full investigation.

Complete an online accident report within 24 hours retaining a hard copy of the form for at least three years.

Accident/Incident Investigation

Managers are responsible for the initial accident/incident investigation. The main aim of the investigation is to pinpoint the causes of accidents/incidents and take prompt and effective action to prevent recurrence. The online system guides those undertaking the investigation through the process ensuring they:

- Focus on establishing the facts e.g. what happened, to whom, where and the outcome
- Focus on how and why, giving the immediate cause or loss, and then the secondary or contributory causes
- Focus on any preventative measures needed to prevent recurrence

Please also see [Section 3](#) for more detailed guidance on how to undertake an accident investigation.

Acts of Violence at Work

Complete the online accident form including the violence at work section within 24 hours of the incident.

Ensure that all acts of violence are fully investigated and appropriate measures taken to reduce the risk of recurrence of the incident.

Upon employee's return to work you need to consider the number of days the employee was absent from work as a result of the incident. If this exceeds 7 days the incident is reportable under RIDDOR

Road traffic accidents

Reports relating to road traffic accidents relating directly to work activities must be reported using the online accident form.

Examples include:

- vehicle loading / unloading
 - refuse collection
 - traffic accidents arising out of construction
 - maintenance and repair activities on or immediately adjacent to the road (road line painting, mowing roadside verges etc.) that involve vehicles on public roads.
- Injuries sustained by Council employees in a normal road traffic accident such as a collision must be reported using the online accident reporting form.

Confidentiality

Accident reports and investigations and the information contained within them are to be treated in strictest confidence.

Section 3

GUIDANCE ACCIDENT INVESTIGATION

What is the purpose of an Accident Investigation?

Accidents, dangerous occurrences, near misses and property damage can have high human and financial costs. They are often the cause of unnecessary misery and can threaten someone's livelihood. The Manager is responsible for putting in place arrangements for identifying, recording and investigating ALL relevant incidents. This section aims to assist in implementing a system that will enable a manager to:

- ascertain both the immediate and underlying causes (there is rarely a single cause) of an incident;
- put in place measures to prevent a recurrence (which may need to be done straightaway);
- re-appraise existing risk assessment (s);
- review existing control measures;
- identify activities or jobs causing the greatest number of incidents; and,
- obtain details, which might be needed if the incident becomes subject to an insurance claim or legal action.

The immediate priorities after an incident are to treat the injured, deal with the immediate emergency and make the workplace safe. However, during any rescue, subsequent clearing up and investigation it is important that as much care as possible is taken to avoid the destruction of any evidence that an inspector from the enforcing authority might require during their investigation.

What are the Key Points in Accident Investigation?

The main aim of any accident investigation is to pinpoint the causes of an incident or accident and take prompt and effective action to prevent recurrence. The key points a manager should consider during the investigation are:

- The organisation: including relevant policies, standards, procedures and rules;
- The job: including where relevant, the substances, procedures, equipment and premises in use; and,
- Personal factors: including people's behaviour, suitability and competence to carry out the work.

Managers must examine incidents and accidents in sufficient depth so that immediate causes and the underlying failures of systems for managing health and safety are identified. As well as immediate and early remedial

action managers may need to make longer-term changes. Improvements might include:

- Giving training in manual handling techniques
- Substituting a washing-up solvent with something less hazardous
- Changing a procedure or other system of work
- Instituting health surveillance
- Providing an interlocking guard on a piece of machinery and,
- Amending the health and safety policy and risk assessments.

Which Incidents need Investigation?

Managers should be guided by the significance of the incident when deciding what to investigate and on the type and depth of the investigation. They should consider not only the actual consequences but also the potential outcome. The more serious the event or the greater its potential to cause harm, then the greater the effort to be applied.

Incidents needing investigation include:

- a) all injuries, dangerous occurrences and cases of occupational ill health;
- b) fires and spillages; and,
- c) near misses and property damage - incidents of this type, which can also cause loss and may be potentially very serious, merit investigation; the remedial action taken may help prevent an injury in the future.

How does a Manager find out about such incidents?

A positive safety culture is likely to encourage people to report incidents including near misses. Managers might consider regular checks of other sources such as first-aid treatment and health records, maintenance reports etc. to help identify incidents.

Managers should consider notifying Directors/Assistant Directors/Heads of Service as appropriate regarding some types of accident or incident so that decisions can be taken about any immediate remedial action and about the nature of the investigation (It may be necessary for a formal accident investigation to be carried out).

Who should undertake the Investigation?

Managers are required to investigate with help as appropriate from the Corporate Safety Section, The level of management involved will generally be related to the actual or potential significance of the injury, ill health or loss, but in most cases, there will be an immediate inspection, possibly by the supervisor who can ensure that important evidence is not lost.

Safety representatives

Are entitled to carry out inspections where there has been a reportable accident or dangerous occurrence or where a reportable disease has been contracted. Managers may be present during these inspections and should provide reasonable facilities for independent investigation by safety representatives and private discussions with the employees they represent.

Under normal circumstances a joint inspection between employers and safety representatives will be carried out.

Training

Accident Reporting and Investigation training is available on line. For further details please contact the Safety Section on (020) 8545 3384.

Checklist for Incident Investigation and Reports

Managers should use the following checklist to structure investigations and written reports. The online accident reporting system must be used for reports of all incidents in Merton.

Obtain basic facts

- names of injured/ill employee(s)/witnesses/people early on the scene
- condition of plant
- substances in use or present
- layout
- place, time, conditions
- injury/ill health/damage/process disruption
- make use of camera, sketches, measurement to record the undisturbed scene

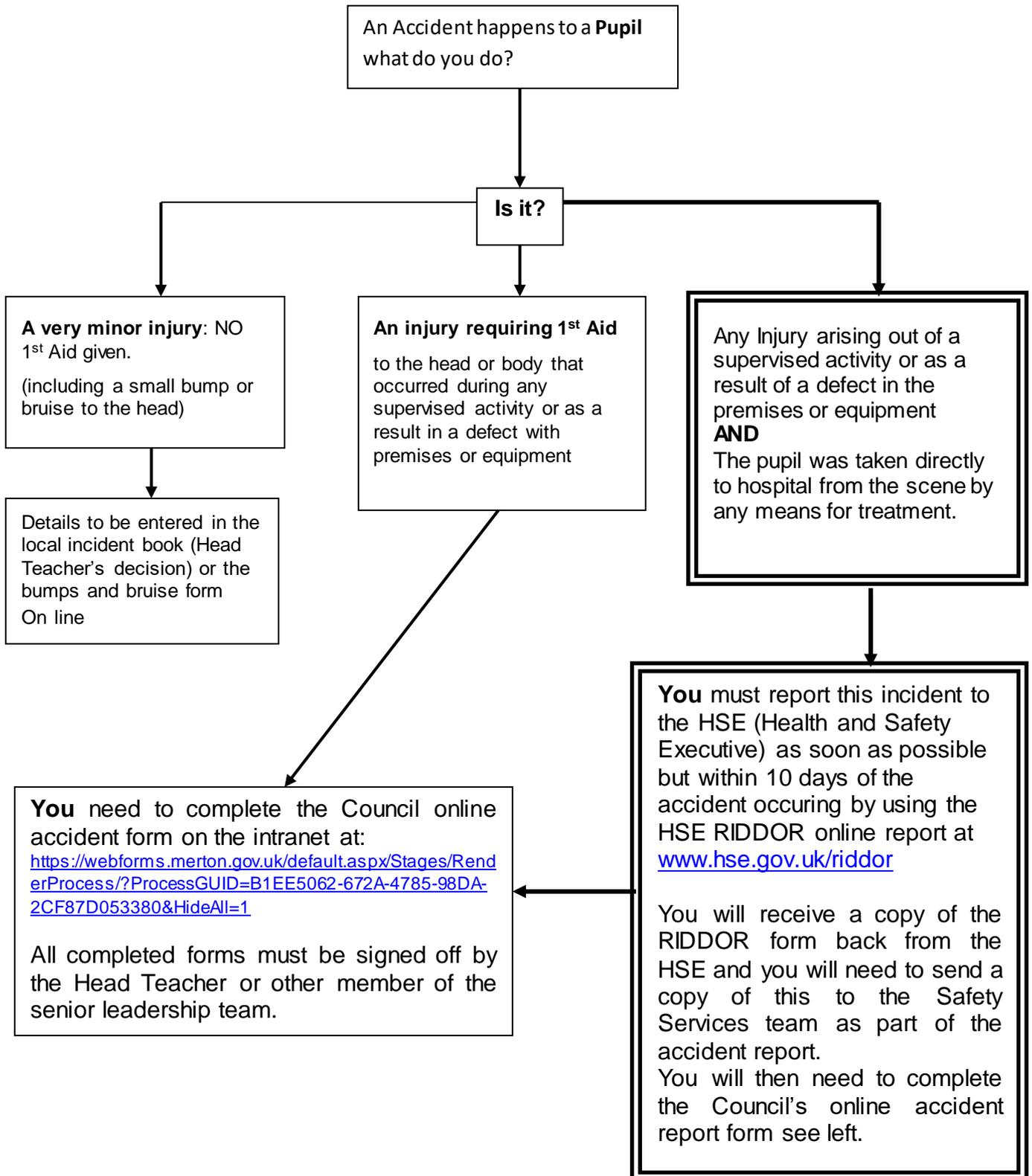
Establish the circumstances

What was being done at the time and what happened?

- immediate causes
- events leading up to the incident
- competence, e.g. what instructions and training were given before the event and how much experience in the job did the people involved (including managers and supervisors) have?
- what were the established methods of work and procedures?
- behaviour and actions of individuals
- role of supervision and management and,
- has something similar happened before?

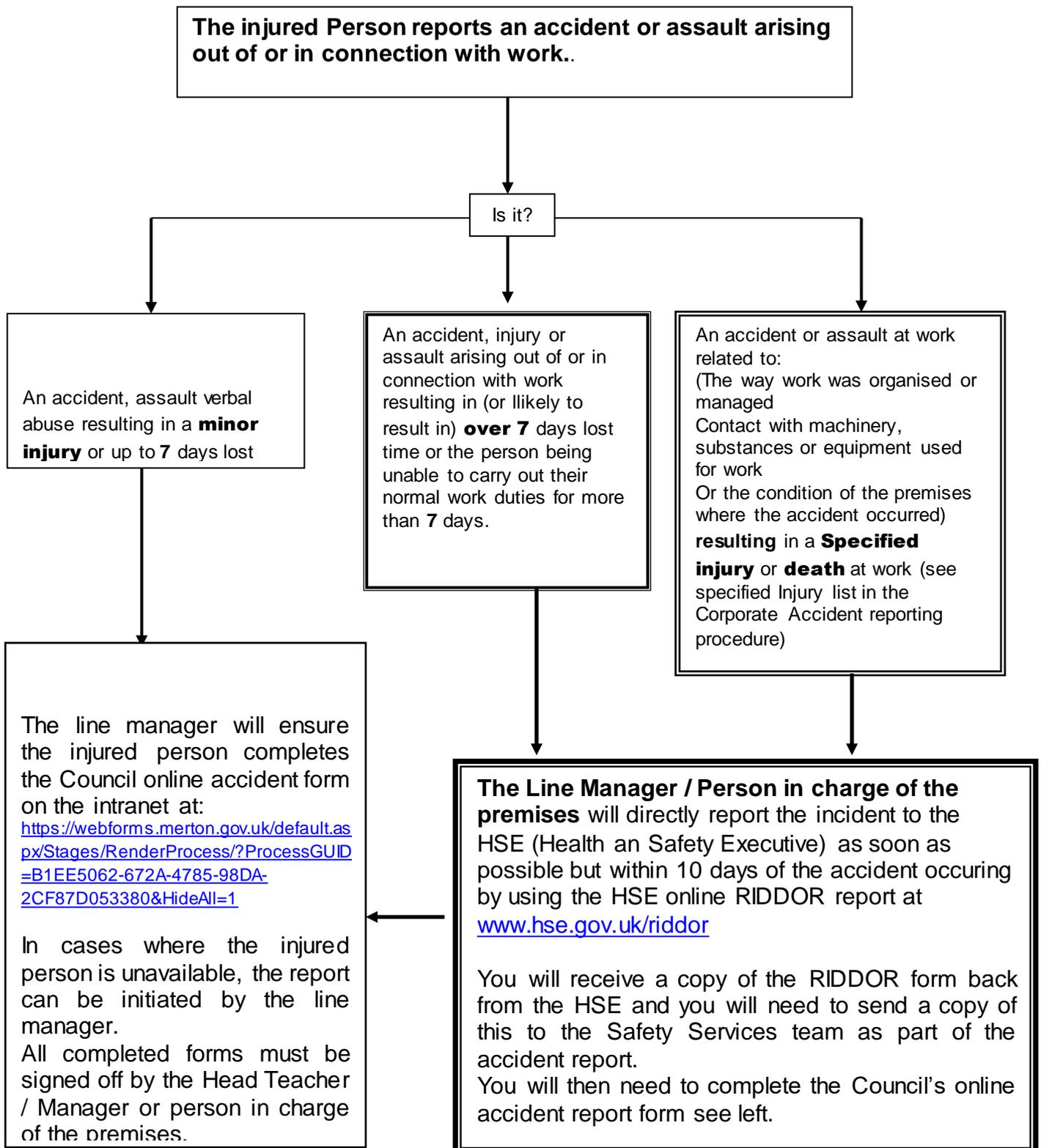
FLOW CHART 3

Accident reporting procedure for accidents involving PUPILS



FLOW CHART 4

Accident Reporting Procedure for accidents and incidents relating to MEMBERS OF STAFF (including school staff), SELF-EMPLOYED PERSONS and CONTRACTORS working on our premises.



FLOW CHART 5

ACCIDENT and RIDDOR Reporting Procedures for accidents occurring to MEMBERS OF PUBLIC, visitors and others.

An accident to a member of the public occurs on Merton owned, managed or maintained premises?

Is it?

An accident resulting in a **minor** injury.

A **death or injury** arising out of or in connection with work **and** the person was taken **directly** from the scene of the accident to hospital by any means for treatment in relation to that injury.

Note:

(You do not need to report an incident as RIDDOR where people are taken to hospital purely as a precautionary measure).

You will directly report the incident to the HSE (Health and Safety Executive) as soon as possible but within 10 days of the accident occurring by using the HSE RIDDOR online report at www.hse.gov.uk/riddor

You will receive a copy of the RIDDOR form back from the HSE and you will need to send a copy of this to the Safety Services team as part of the accident report. You will then need to complete the Council's online accident report form see left.

You will now need to complete the Council online accident form on the intranet at:

<https://webforms.merton.gov.uk/default.aspx/Stages/RenderProcess/?ProcessGUID=B1EE5062-672A-4785-98DA-2CF87D053380&HideAll=1>

All completed forms must be signed by the Head Teacher / Manager or person in charge of the premises.